

# Unmanned Aerial Vehicle compliance checking using Goal-Directed Answer Set Programming

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## Abstract

We present a novel application of Goal-Directed Answer Set Programming that digitizes the model aircraft operator's compliance verification against the Academy of Model Aircrafts (AMA) safety code. The AMA safety code regulates how AMA flyers operate Unmanned Aerial Vehicles (UAVs) for limited recreational purposes. Flying drones and their operators are subject to various rules before and after the operation of the aircraft to ensure safe flights. In this paper, we leverage Goal-Directed Answer Set Programming to encode the AMA safety code and automate compliance checks. To check compliance, we use the s(CASP), a goal-directed ASP engine. By using s(CASP) the operators can easily check for violations and obtain a justification tree explaining the cause of the violations in human-readable natural language. We develop a front end questionnaire interface that accepts various conditions and uses s(CASP) as backend engine to evaluate whether the conditions adhere to the regulations. We also leverage s(CASP) implemented in SWI-Prolog, where SWI-Prolog exposes the reasoning capabilities of s(CASP) as a REST service. To the best of our knowledge, this is the first application of ASP in the AMA and Avionics Compliance and Certification space.

## Keywords

Goal-Directed Answer Set Programming, Automated Flight Readiness Approval

## 1. Introduction

We present a novel application of Answer Set Programming (ASP) that hastens the Academy of Model Aircraft (AMA) Flight Safety Compliance checking process. The AMA Safety Code Compliance rules are written as a set of English language rules describing the situations under which violations can occur. We capture the different sets of conditions that could lead to violations and translate the violations into ASP rules. Exceptional conditions in the rules can be directly mapped to ASP's support for negation-by-failure. We have developed an application in the form of a questionnaire detailing various conditions which require a compliance check. We have used the AMA Safety Code rules, which are simple enough to be coded as propositional answer set programs. That is, the entire questionnaire is a series of yes-or-no questions that can be completed in less than five minutes by an experienced aircraft operator applying for

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certification. The s(CASP) system is capable of printing a justification tree for any identified violations which is presented in plain English. This helps the user understand the cause of the violation without having to understand ASP rules. To the best of our knowledge, this is the first application of ASP in aviation rules compliance checking.

## 2. Background

### 2.1. Goal-Directed Answer Set Programming

Goal-directed approaches to Answer Set Solving do not perform grounding to find stable models. One such approach is Goal-Directed ASP[1]. Goal-directed ASP assumes that a query  $?-p(X_1, X_2, \dots, X_n)$  is provided to the ASP solver. The goal-directed search finds the predicates that support the submitted query and finds bindings for  $X_1, X_2, \dots, X_n$ . At an interactive level, this looks similar to Logic Programming, however, the underlying search uses the co-SLDNF resolution algorithm to find stable models[2, 3]. A salient feature of goal-directed ASP is that, the co-SLDNF algorithm only searches for rules that are relevant to finding the support for the given query. The state-of-the-art implementation of goal-directed ASP is s(CASP). When given a query, s(CASP) returns a *partial stable model* of the query for the given ASP program. Along with the partial stable model, s(CASP) also prints a justification tree to the user conveying how the proof for the given query was performed. The justifications of ASP queries have been used widely in several application areas such as Explainable AI, Legal Reasoning and Natural Language Understanding [4, 5, 6, 7]. Further, the justifications can also be rendered in human understandable natural language [8]. For example, consider the below ASP program with the predicates mapped to their English equivalents. An example justification tree (s(CASP) justification tree) and partial stable model (s(CASP) model) for the program below is shown in Figure 1.

```
flies(X) :- bird(X), not penguin(X).
bird(tweety).
#pred flies(X):: '@(X) flies'.
#pred bird(X):: '@(X) is a bird'.
#pred penguin(X):: '@(X) is a penguin'.
```



**Figure 1:** An English justification tree that tweety flies

## AS AN AMA MEMBER I AGREE:

- I will not fly a model aircraft in a careless or reckless manner.
- I will not interfere with and will yield the right of way to all human-carrying aircraft using AMA's See and Avoid Guidance and a spotter when appropriate.
- I will not operate any model aircraft while I am under the influence of alcohol or any drug that could adversely affect my ability to safely control the model.
- I will avoid flying directly over unprotected people, moving vehicles, and occupied structures.

**Figure 2:** Few rules from AMA General Safety Code[11]

## 2.2. Modern SWISH Interface and REST API for s(CASP)

The s(CASP) system is available on the modern SWISH Interface for SWI-Prolog [9, 10]. SWISH allows a user to create interactive Logic Program Notebooks for s(CASP) answer set programs. The s(CASP) module can be enabled by using the :- `use_module(library(scasp))`. Along with the online interface, SWISH also provides REST APIs for external clients to run ASP programs. The AMA Safety Code application in this paper uses the SWISH API for s(CASP).

We next explain the Flight Compliance and Certification process used to ensure compliance by recreational UAV operators with AMA rules, followed by their translation in ASP. We also show snippets of the user interface involved in the certification process.

## 3. The AMA Safety Code for Aircraft Operators

The Academy of Model Aeronautics (AMA) defines a set of rules and regulations to be followed by the operator(s) of model aircraft during their flight. We have adopted the rules defined in the AMA Safety code[11]. The AMA Safety Code has conditions pertaining to general operation of aircrafts along with regulations pertaining to radio control and free flight. The rules are written in plain English. Each rule either prescribes a certain set of conditions desirable for flight safety or proscribes a set of conditions that violate safety requirements. We encode each rule as a violation rule. A few AMA safety code rules are shown in Figure 2.

For example, the AMA rule 3 states that *I will not operate my model aircraft while under the influence of alcohol or while using any drug which could adversely affect my ability to safely control the model.* This potential violation is capture by a rule in ASP as: `violation_3 :- under_alcohol_or_drug_influence.`

Many of the rules are written in terms of *defaults* and *exceptions*. In such case, the rules will be of the form, `some_violation :- default, not exception.`

For instance, first clause of rule 7 (not shown in Figure 2, but found here[11]) states that:

**General AMA Rules**

Does the aircraft weight (including fuel) above 55 pounds? \*

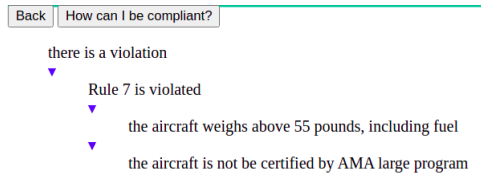
No  Yes

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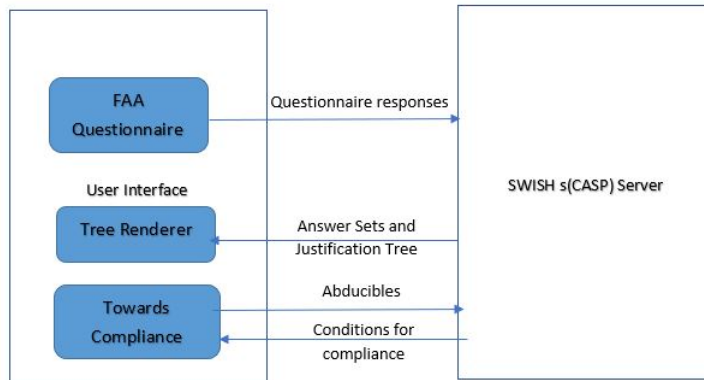
The aircraft weighs above 55 pounds. Is the aircraft certified by AMA Large Aircraft Program? \*

No  Yes

**Figure 3:** Questionnaire corresponding to aircraft weight



**Figure 4:** Violation:aircraft weighs above 55 pounds, not certified by AMA Large aircraft program



**Figure 5:** Architecture of the Flight Readiness Approval Application

*I will only fly models weighing more than 55 pounds, including fuel, if certified through AMA's Large Model Airplane Program.* The sub-clause before *if* represents a default and the sub-clause after *if* represents the exception to the default. This violation is encoded as:

`violation_7 :- aircraft_above_55, not ama_large_program_certified.`

The AMA flight compliance rules are therefore translated into ASP.

The architecture of the web application and its backend interface to SWISH is shown in Figure 5. The app's source itself is accessible from github [12].

On the front-end of the compliance application, the questionnaire elicits answers from the user by asking the following sequence of questions. Each of the questions maps to the literals in the body of a potential violation rule. Shown in figure 3. The proof tree returned by s(CASP) is rendered graphically on the front-end 4. From the viewpoint of the end-user, the ASP translation

and proof tree are completely transparent. Showing the proof graphically helps the operator easily understand the violations and also to figure out how to achieve compliance. The app's source itself is accessible from github [12].

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