

# On optimal orientations of tree vertex-multiplications

K. M. KOH

*Department of Mathematics  
National University of Singapore  
2 Science Drive 2  
Singapore 117543*

E. G. TAY

*Mathematics and Mathematics Education  
National Institute of Education  
Nanyang Technological University  
1 Nanyang Walk  
Singapore 637616*

## Abstract

For a bridgeless connected graph  $G$ , let  $\mathcal{D}(G)$  be the family of strong orientations of  $G$ ; and for any  $D \in \mathcal{D}(G)$ , we denote by  $d(D)$  (resp.,  $d(G)$ ) the diameter of  $D$  (resp.,  $G$ ). Define  $\bar{d}(G) = \min\{d(D) | D \in \mathcal{D}(G)\}$ . In this paper, we study the problem of evaluating  $\bar{d}(T(s_1, s_2, \dots, s_n))$ , where  $T(s_1, s_2, \dots, s_n)$  is a  $T$  vertex-multiplication for any tree  $T$  of order  $n \geq 4$  and diameter at least 3, and any sequence  $(s_i)$  with  $s_i \geq 2$ ,  $i = 1, 2, \dots, n$ . We show that  $\bar{d}(T(s_1, s_2, \dots, s_n)) \leq d(T) + 1$  with  $\bar{d}(T(s_1, s_2, \dots, s_n)) = d(T)$  for most cases.

## 1 Introduction

Let  $G$  be a connected graph with vertex set  $V(G)$  and edge set  $E(G)$ . For  $v \in V(G)$ , the **eccentricity**  $e(v)$  of  $v$  is defined as  $e(v) = \max\{d(v, x) | x \in V(G)\}$ , where  $d(v, x)$  denotes the distance from  $v$  to  $x$ . The **diameter** of  $G$ , denoted by  $d(G)$ , is defined as  $d(G) = \max\{e(v) | v \in V(G)\}$ . Let  $D$  be a digraph with vertex set  $V(D)$  and edge set  $E(D)$ . For  $v \in V(D)$ , the notions  $e(v)$  and  $d(D)$  are similarly defined.

An *orientation* of a graph  $G$  is a digraph obtained from  $G$  by assigning to each edge in  $G$  a direction. An orientation  $D$  of  $G$  is *strong* if every two vertices in  $D$  are mutually reachable in  $D$ . An edge  $e$  in a connected graph  $G$  is a *bridge* if  $G - e$  is disconnected. Robbins' celebrated one-way street theorem [25] states that a connected graph  $G$  has a strong orientation if and only if no edge of  $G$  is a bridge.

Efficient algorithms for finding a strong orientation for a bridgeless connected graph can be found in Roberts [26], Boesch and Tindell [1] and Chung et al. [2]. Boesch and Tindell [1] extended Robbins' result to mixed graphs where edges could be directed or undirected. Chung et al. [2] provided a linear-time algorithm for testing whether a mixed graph has a strong orientation and finding one if it does. As another possible way of extending Robbins' theorem, consider further the notion  $\rho(G)$  given below (see Boesch and Tindell [1], Chvátal and Thomassen [3], and Roberts [27]). Given a connected graph  $G$  containing no bridges, let  $\mathcal{D}(G)$  be the family of strong orientations of  $G$ . Define

$$\rho(G) = \min\{d(D) \mid D \in \mathcal{D}(G)\} - d(G).$$

The first term on the right side of the above equality is essential. Let us write

$$\vec{d}(G) = \min\{d(D) \mid D \in \mathcal{D}(G)\}.$$

The problem of evaluating  $\vec{d}(G)$  for an arbitrary connected graph  $G$  is very difficult. As a matter of fact, Chvátal and Thomassen [3] showed that the problem of deciding whether a graph admits an orientation of diameter two is NP-hard.

On the other hand, the parameter  $\vec{d}(G)$  has been studied in various classes of graphs including the cartesian product of graphs (Plesník [23], Soltés [32], McCanna [21], Roberts and Xu [28–31], Koh and Tan [8], Koh and Tay [11–17], König et al. [19]), complete graphs (Plesník [22], Boesch and Tindell [1], Maurer [20] and Reid [24]), complete bipartite graphs (Plesník [23], Boesch and Tindell [1], Soltés [32] and Gutin [5]) and complete  $n$ -partite graphs for  $n \geq 3$  (Plesník [23], Gutin [5–7] and Koh and Tan [9, 10]). These optimal orientations can be used to provide optimal arrangements of one-way streets (Robbins [25], Roberts and Xu [28–31], and Koh and Tay [12]). They can also be used to solve a variant of the gossip problem on a graph  $G$  where all points simultaneously broadcast items to all other points in such a way that items are combined at no cost and all links are simultaneously used but in only one direction at a time. In this problem, the time taken for the gossip to be completed is bounded below by  $d(G)$  and above by  $\min\{2d(G), \vec{d}(G)\}$  (see Fraignaud and Lazard [4]). Thus the problem for a graph  $G$  is solved completely if  $\rho(G) = 0$ .

In [18], Koh and Tay extended the results on the complete  $n$ -partite graphs by introducing a new family of graphs based on a given connected graph as follows. Let  $G$  be a given connected graph of order  $n$  with vertex set  $V(G) = \{v_1, v_2, \dots, v_n\}$ . For any sequence of  $n$  positive integers  $(s_i)$ , let  $G(s_1, s_2, \dots, s_n)$  denote the graph with vertex set  $V^*$  and edge set  $E^*$  such that  $V^* = \bigcup_{i=1}^n V_i$ , where  $V_i$ 's are pairwise disjoint sets with  $|V_i| = s_i$ ,  $i = 1, 2, \dots, n$ ; and for any two distinct vertices  $x, y$  in  $V^*$ ,  $xy \in E^*$  if and only if  $x \in V_i$  and  $y \in V_j$  for some  $i, j \in \{1, 2, \dots, n\}$  with  $i \neq j$  such that  $v_i v_j \in E(G)$ . Call the graph  $G(s_1, s_2, \dots, s_n)$  a **G vertex-multiplication**. Thus when  $G = K_n$ , the complete graph of order  $n$ , the graph  $G(s_1, s_2, \dots, s_n)$  is a complete  $n$ -partite graph. We call  $G$  a **parent graph** of a graph  $H$  if  $H \cong G(s_1, s_2, \dots, s_n)$  for some sequence  $(s_i)$  of positive integers.

For convenience, we sometimes write, for  $i = 1, 2, \dots, n$ ,  $V_i = \{(p, i) | 1 \leq p \leq s_i\}$  and call  $(p, i)$  the  $p$ th vertex in  $V_i$ . Thus two vertices  $(p, i)$  and  $(q, j)$  in  $V^*$  are adjacent in  $G(s_1, s_2, \dots, s_n)$  if and only if  $i \neq j$  and  $v_i v_j \in E(G)$ . For  $s = 1, 2, \dots$ , we shall denote  $G(s, s, \dots, s)$  simply by  $G^{(s)}$ . Thus  $G^{(1)} = G$ , and it is understood that the number of  $s$ 's in  $G(s, s, \dots, s)$  is equal to the order of  $G$ .

In this paper, we shall study the case when  $G$  is a tree. Since trees of diameter not exceeding 2 are parent graphs to complete bipartite graphs which have been completely solved, we shall only consider trees of diameter exceeding 2. It was shown in [18] that if  $s_i \geq 2$  for each  $i = 1, 2, \dots, n$  where  $n \geq 3$ , then  $d(G) \leq \bar{d}(G(s_1, s_2, \dots, s_n)) \leq d(G) + 2$ . From this fundamental result, all graphs of the form  $G(s_1, s_2, \dots, s_n)$ , where  $s_i \geq 2$  for  $1 \leq i \leq n$ , can now be classified into 3 classes  $\mathcal{C}_i$  in the following natural way:

$$\mathcal{C}_i = \{G(s_1, s_2, \dots, s_n) | \bar{d}(G(s_1, s_2, \dots, s_n)) = d(G) + 1\}, i = 0, 1, 2.$$

From now on, we shall assume that  $s_i \geq 2$  for  $1 \leq i \leq n$ . In the subsequent sections, we shall show that if  $T$  is a tree of order  $n$  and diameter exceeding 2, then  $T(s_1, s_2, \dots, s_n) \in \mathcal{C}_0 \cup \mathcal{C}_1$  with  $T(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$  for most but not all cases.

## 2 Terminology and Notation

Let  $D$  be a digraph. A dipath (resp., dicycle) in  $D$  is simply called a path (resp., cycle) in  $D$ . For  $X \subseteq V(D)$ , the subdigraph of  $D$  induced by  $X$  is denoted by  $D[X]$ , or simply  $[X]$ , if there is no danger of confusion. Given  $F \in \mathcal{D}(G(s_1, s_2, \dots, s_n))$ , let  $\bigcup_{j \in J} F_{v_j} = F[\{(p, v_j) | 1 \leq p \leq s_j, j \in J\}]$ .

Let  $A$  be a subdigraph of  $F$ . The eccentricity, outdegree and indegree of a vertex  $(p, i)$  in  $A$  are denoted respectively by  $e_A((p, i))$ ,  $s_A((p, i))$  and  $s^-_A((p, i))$ . The subscript  $A$  is omitted if  $A = F$ .

A digraph  $D_1$  is said to be isomorphic to a digraph  $D_2$ , written  $D_1 \cong D_2$ , if there is a bijection  $\varphi : V(D_1) \rightarrow V(D_2)$  such that  $uv \in E(D_1)$  if and only if  $\varphi(u)\varphi(v) \in E(D_2)$ .

For  $x, y \in V(D)$ , we write ' $x \rightarrow y$ ' or ' $y \leftarrow x$ ' if  $x$  is adjacent to  $y$  in  $D$ . Also, for  $A, B \subseteq V(D)$ , we write ' $A \rightarrow B$ ' or ' $B \leftarrow A$ ' if  $x \rightarrow y$  in  $D$  for all  $x \in A$  and for all  $y \in B$ . When  $A = \{x\}$ , we shall write ' $x \rightarrow B$ ' or ' $B \leftarrow x$ ' for  $A \rightarrow B$ .

For convenience, we shall denote a tree with diameter  $d$  by  $T_d$ .

For clarity, we introduce an alternative way of labeling the vertices of a tree. Let  $T_d$  have a planar representation as follows: Choose a path  $P$  in  $T_d$  of length  $d$  and draw it vertically. We call  $P$  the **main path**. Label the vertices on  $P$  from (1) to  $(d + 1)$  starting with (1) at the top and the others numbered consecutively downwards. If there is no ambiguity, vertex  $(i)$  may simply be written as  $i$ . A branch from a vertex  $(v)$  on  $P$  whose label does not exceed  $(\lfloor \frac{d}{2} \rfloor)$  is drawn to the right and upwards in such a way that the neighbours of  $(v)$  are placed from left to right at the same height as the vertex  $(v - 1)$ . A branch from a vertex  $(v)$  in  $P$  whose label exceeds  $(\lfloor \frac{d}{2} \rfloor)$  is drawn to the right and downwards in such a way that the neighbours of  $(v)$  are placed from left to right at the same height as the vertex  $(v + 1)$ .

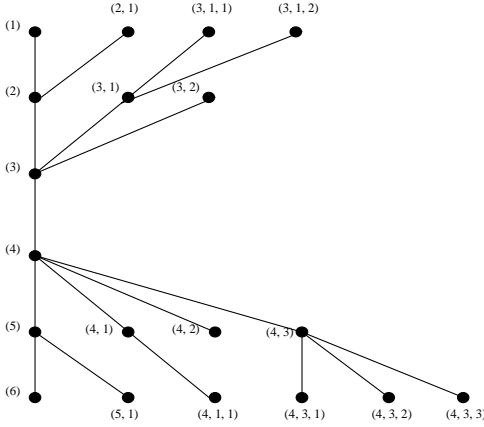


Figure 1

We shall now give an algorithm for labeling the vertices of  $T_d$ .

- (i) The vertices of  $P$  from top to bottom have been labeled  $(1), (2), \dots, (d + 1)$ .
- (ii) For  $2 \leq i \leq d$ , if  $\text{deg}((i)) = k_i \geq 3$ , then label from left to right the unlabeled vertices adjacent to  $(i)$  as  $(i, 1), (i, 2), \dots, (i, k_i - 2)$ .
- (iii) Suppose a vertex  $v$  has been labeled  $(a_1, a_2, \dots, a_q)$ . Label from left to right the unlabeled vertices adjacent to  $v$  as  $(a_1, a_2, \dots, a_q, 1), (a_1, a_2, \dots, a_q, 2), \dots, (a_1, a_2, \dots, a_q, \text{deg}(v) - 1)$ .

For a vertex  $v = (a_1, a_2, \dots, a_m)$ , define its **vertex number**,  $n(v)$ , as follows:

$$n(v) = \begin{cases} a_1 + 1 - m & \text{if } a_1 \leq \lceil \frac{d}{2} \rceil; \\ a_1 + m - 1 & \text{otherwise.} \end{cases}$$

Denote by  $v^i$  the  $i$ -th coordinate in  $v$ .

As an illustration, the labeling of a tree  $T_d$  with  $d = 5$  is shown in Figure 1.

For  $i = 1, 2, \dots, d$ , we shall label the vertex  $v_i$  as  $(i)$  according to the labeling above.

### 3 Optimal orientations of $T_d(s_1, s_2, \dots, s_n)$ , where $d = 3, 4$

In this section, we shall obtain results on  $T_d(s_1, s_2, \dots, s_n)$ , where  $d = 3$  or  $4$ . We shall need the following lemma to prove our results in this and the next section. The lemma has been proved in [18] but for completeness, we shall include the proof here.

**Lemma 1** *Let  $t_i, s_i$  be integers such that  $t_i \leq s_i$  for  $1 \leq i \leq n$ . If the graph  $G(t_1, t_2, \dots, t_n)$  admits an orientation  $F$  in which every vertex  $v$  lies on a cycle of length not exceeding  $m$ , then  $\bar{d}(G(s_1, s_2, \dots, s_n)) \leq \max\{m, d(F)\}$ .*

*Proof.* Given such an orientation  $F$  of  $G(t_1, t_2, \dots, t_n)$ , define an orientation  $F'$  of  $G(s_1, s_2, \dots, s_n)$  as follows:

- (i) for  $p < t_i$  and  $q < t_j$ ,  $(p, i) \rightarrow (q, j)$  iff  $(p, i) \rightarrow (q, j)$  in  $F$ ;
- (ii) for  $p < t_i$  and  $q < t_j$ ,  $(p, i) \rightarrow (q, j)$  iff  $(p, i) \rightarrow (t_j, j)$  in  $F$ ;
- (iii) for  $p < t_i$  and  $q < t_j$ ,  $(p, i) \rightarrow (q, j)$  iff  $(t_i, i) \rightarrow (q, j)$  in  $F$ ;
- (iv) for  $p < t_i$  and  $q < t_j$ ,  $(p, i) \rightarrow (q, j)$  iff  $(t_i, i) \rightarrow (t_j, j)$  in  $F$ ;

We shall now prove that  $d(F') \leq \max\{m, d(F)\}$  by showing that for any 2 vertices  $(p, i)$  and  $(q, j)$  in  $F'$ ,  $d((p, i), (q, j)) \leq \max\{m, d(F)\}$ . Indeed, if  $i \neq j$  or ' $i = j$  and  $p < t_i$  or  $q < t_i$ ', then it is clear that  $d((p, i), (q, j)) \leq d(F)$ . If  $i = j$  and  $p \geq t_i$  and  $q \geq t_i$ , then  $d((p, i), (q, j)) \leq m$ . The result thus follows.  $\square$

Let  $P_n$  be the path of order  $n$ .

**Theorem 1**  $T_i(s_1, s_2, \dots, s_n) \in \mathcal{C}_2 \cup \mathcal{C}_1$ , where  $i = 3, 4$ .

*Proof.* It was shown in [18] that  $P_4(s_1, s_2, s_3, s_4) \in \mathcal{C}_0 \cup \mathcal{C}_1$ . Note that  $T_3(s_1, s_2, \dots, s_n) \cong P_4(\sum_{n(i_j)=1} s_j, s_2, s_3, \sum_{n(i_j)=4} s_j)$ . The result follows for  $i = 3$ .

We shall now prove the result for  $i = 4$ . Define an orientation  $F$  of  $T_4^{(2)}$  as follows: for  $n(i) = 2$  or  $4$ ,  $(1, i) \rightarrow (1, 3) \rightarrow (2, i) \rightarrow (2, 3) \rightarrow (1, i)$  and  $(2, i) \rightarrow \{(1, j), (2, j)\} \rightarrow (1, i)$ , where  $j$  is adjacent to  $i$  in  $T_4$  and  $n(j) = \begin{cases} 1 & \text{if } i = 2 \\ 5 & \text{if } i = 4 \end{cases}$ .

As an illustration, the orientation  $F$  of a  $T_4^{(2)}$  is shown in Figure 2.

Observe the following facts about  $F$ :

- (i) for  $n(i) = 1, 5$  and  $p = 1, 2$ ,  $d((p, i), (1, 3)) = d((1, 3), (p, i)) = 2$ ;
- (ii) for  $n(i) = 1, 5$  and  $p = 1, 2$ ,  $d((p, i), (2, 3)) = d((2, 3), (p, i)) = 4$ ;
- (iii) for  $n(i) = 2, 4$ ,  $d((1, i), (1, 3)) = 1$ ,  $d((1, i), (2, 3)) = 3$ ,  $d((1, 3), (1, i)) = 3$  and  $d((2, 3), (1, i)) = 1$ ;
- (iv) for  $n(i) = 2, 4$ ,  $d((2, i), (1, 3)) = 3$ ,  $d((2, i), (2, 3)) = 1$ ,  $d((1, 3), (2, i)) = 1$  and  $d((2, 3), (2, i)) = 3$ ;
- (v)  $d((1, 3), (2, 3)) = d((2, 3), (1, 3)) = 2$ .

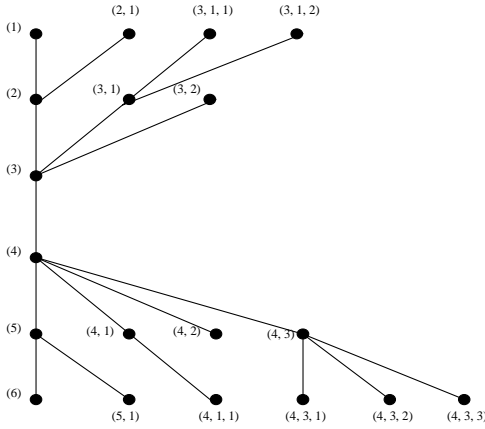


Figure 2

We shall now show that  $d(F) = 5$  by showing that for all  $u \in V(F)$ ,  $e(u) \leq 5$ . From observations (i)-(v),  $e((1, 3)) = 3$  and  $e((2, 3)) = 4$ . For  $n(i) = 1, 5$  and  $p = 1, 2$ ,  $e((p, i)) \leq d((p, i), (1, 3)) + e((1, 3)) = 2 + 3 = 5$ . For  $n(i) = 2, 4$ ,  $e((1, i)) \leq d((1, i), (1, 3)) + e((1, 3)) = 1 + 3 = 4$  and  $e((2, i)) \leq d((2, i), (2, 3)) + e((2, 3)) = 1 + 4 = 5$ . All cases have been covered and so  $d(F) = 5$ . Since every vertex in  $F$  lies on a cycle of length 4, we have  $\vec{d}(T_4(s_1, s_2, \dots, s_n)) \leq 5$  by Lemma 1. Thus  $T_4(s_1, s_2, \dots, s_n) \in \mathcal{C}_0 \cup \mathcal{C}_1$ .  $\square$  To prove the next theorem in this section, we shall need the following lemma.

**Lemma 2** *There is exactly one orientation of  $P_5^{(2)}$  with diameter 4 up to isomorphism.*

*Proof.* Suppose there exists an  $F \in \mathcal{D}(P_5^{(2)})$  such that  $d(F) = 4$ . Since  $F$  is strong, we may assume that  $(2, 2) \rightarrow (1, 1) \rightarrow (1, 2)$  and  $(1, 4) \rightarrow (1, 5) \rightarrow (2, 4)$ . Since  $d((1, 1), (1, 5)) \leq 4$ , there must be a  $(1, 2)$ - $(1, 4)$  path of length 2. We may assume that  $(1, 2) \rightarrow (1, 3) \rightarrow (1, 4)$ . Since  $d((1, 4), (1, 1)) \leq 4$ ,  $(1, 4) \rightarrow (2, 3) \rightarrow (2, 2)$ . Since  $d((1, 5), (1, 2)) \leq 4$ ,  $(2, 4) \rightarrow (2, 3) \rightarrow (1, 2)$ . Since  $d((1, 1), (2, 4)) \leq 4$ ,  $(1, 3) \rightarrow (2, 4)$ . Since  $d((1, 5), (2, 5)) \leq 4$  and  $F$  is strong,  $(2, 4) \rightarrow (2, 5) \rightarrow (1, 4)$ . Since  $d((2, 2), (1, 5)) \leq 4$ ,  $(2, 2) \rightarrow (1, 3)$ . Since  $d((1, 1), (2, 1)) \leq 4$  and  $F$  is strong,  $(1, 2) \rightarrow (2, 1) \rightarrow (2, 2)$ . Thus  $F$  is isomorphic to the orientation  $X^1$  of Figure 3, which is of diameter 4.  $\square$

**Corollary** Let  $T_4$  be a tree of diameter 4 which contains  $P_5 : i_1 i_2 \dots i_5$  as a subgraph such that  $\deg_{T_4}(i_1) = \deg_{T_4}(i_5) = 1$ ,  $\deg_{T_4}(i_2) = \deg_{T_4}(i_4) = 2$  and  $\deg_{T_4}(i_3) \geq 2$ . If there exists  $F \in \mathcal{D}(T_4^{(2)})$  such that  $d(F) = 4$ , then  $F[V(P_5^{(2)})] \cong X^1$ .

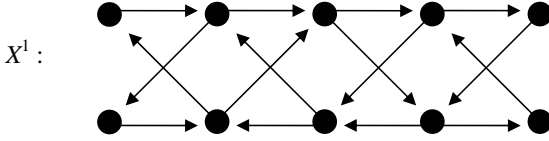


Figure 3

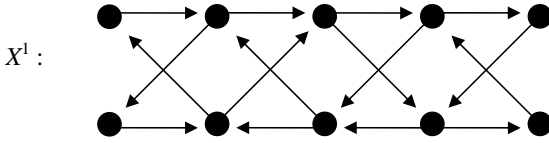


Figure 4

*Proof.* Observe that the proof of Lemma 2 is independent of whether there exist any new edges incident with  $(1, 3)$  or  $(2, 3)$ . The result thus follows.  $\square$

Call  $F \in \mathcal{D}(P_5^{(2)})$  a **symmetric** orientation if there exists an isomorphism  $\varphi : F_1 \cup F_2 \cup F_3 \rightarrow F_5 \cup F_4 \cup F_3$  such that for  $p = 1, 2$ ,  $\varphi((p, i)) = (p, 6 - i)$ .

Consider the orientation  $X^1$  of Figure 3. We observe that

- (i)  $X^1$  is not symmetric;
- (ii)  $s_{X_2^1 \cup X_3^1}((1, 3)) = s_{X_3^1 \cup X_4^1}((2, 3)) = 0$ .

**Theorem 2**

- (I) If  $\text{deg}((3)) = 2$ , then  $T_4(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$ .
- (II) If  $\text{deg}((3)) \geq 3$ , then  $T_4^{(2)} \in \mathcal{C}_1$ .

*Proof.* We shall first prove (I). It is easy to see that every vertex in  $X^1$  lies on a cycle of length 4. Hence by Lemma 1,  $\vec{d}(P_5(s_1, s_2, s_3, s_4, s_5)) = 4$ . Let  $T_4$  be a tree of diameter 4 such that  $\text{deg}((3)) = 2$ . Note that  $T_4(s_1, s_2, \dots, s_n) \cong P_5(\sum_{n(i_j)=1} s_j, s_2, s_3, s_4, \sum_{n(i_j)=5} s_j)$ . Thus we have  $\vec{d}(T_4(s_1, s_2, \dots, s_n)) = 4$  and  $T_4(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$ .

We shall now prove (II). Let  $T_4$  be a tree of diameter 4 such that  $\text{deg}((3)) \geq 3$ .

Assume that there exist at least 3 vertices in  $T_4$  such that the distance between any two of them is 4. We need only consider  $T$  of Figure 4.

Suppose there exists  $H \in \mathcal{D}(T^{(2)})$  such that  $d(H) = 4$ . By the corollary to Lemma 2,  $H_1 \cup H_2 \cup H_3 \cup H_4 \cup H_5 \cong H_1 \cup H_2 \cup H_3 \cup H_{(3,1)} \cup H_{(3,1,1)} \cong H_5 \cup H_4 \cup$

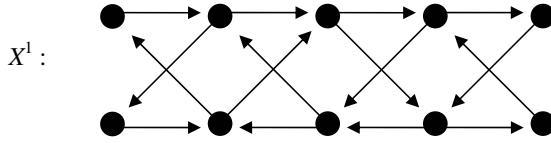


Figure 5

$H_3 \cup H_{(3,1)} \cup H_{(3,1,1)} \cong X^1$ . But this is impossible since  $X^1$  is not symmetric by the above observation (i).

Assume now that there exist exactly 2 vertices in  $T_4$  such that the distance between them is 4. We need only consider  $T$  of Figure 5.

Suppose there exists  $H \in \mathcal{D}(T^{(2)})$  such that  $d(H) = 4$ . By the corollary to Lemma 2,  $H_1 \cup H_2 \cup H_3 \cup H_4 \cup H_5 \cong X^1$ . By the above observation (ii), we have  $s_{H_2 \cup H_3}((1, 3)) = s_{H_2 \cup H_3}((2, 3)) = 0$ . Since  $H$  is strong,  $s((1, (3, 1))) = 1$ . If  $(1, (3, 1)) \rightarrow (1, 3)$ , then  $d((1, (3, 1)), (1, 1)) = d((1, (3, 1)), (2, 3)) + d((2, 3), (1, 1)) = 3 + 2 = 5$ , a contradiction. If  $(1, (3, 1)) \rightarrow (2, 3)$ , then  $d((1, (3, 1)), (1, 5)) = d((1, (3, 1)), (1, 3)) + d((1, 3), (1, 5)) = 3 + 2 = 5$ , a contradiction again.

Hence  $\bar{d}(T_4^{(2)}) \geq 5$ . By Theorem 1,  $\bar{d}(T_4^{(2)}) = 5$  and result (II) follows.  $\square$

### 4 Optimal orientations of $T_d(s_1, s_2, \dots, s_n)$ , where $d \geq 5$

In this section, we shall turn our attention to  $T_d(s_1, s_2, \dots, s_n)$ , where  $d \geq 5$ . We shall divide our consideration into 2 cases, i.e.,  $T_5$  and  $T_d$  with  $d \geq 6$ .

We shall need a few preliminary results on orientations of  $P_5^{(2)}$  and  $P_6^{(2)}$ .

**Lemma 3** *There are exactly 3 non-isomorphic orientations of  $P_6^{(2)}$  with diameter 5.*

*Proof.* Suppose there exists an  $F \in \mathcal{D}(P_6^{(2)})$  such that  $d(F) = 5$ . We shall split our argument into 2 cases by considering the orientation of  $F_5 \cup F_6$ .

Case 1  $(1, 5) \rightarrow (1, 6) \rightarrow (2, 5) \rightarrow (2, 6) \rightarrow (1, 5)$ .

Let  $u \in V(F_1)$ ,  $v \in V(F_2)$  and  $w \in V(F_5)$ . We have the following observations:

(1a) since  $s_{\bar{F}}((1, 6)) = s_{\bar{F}}((2, 6)) = 1$ ,  $(1, 5) \rightarrow (1, 6)$  and  $(2, 5) \rightarrow (2, 6)$ , we have  $d(u, w) = 4$  and  $d(v, w) = 3$ ;

(1b) since  $s_F((1, 6)) = s_F((2, 6)) = 1$ ,  $(1, 6) \rightarrow (2, 5)$  and  $(2, 6) \rightarrow (1, 5)$ , we have  $d(w, u) = 4$  and  $d(w, v) = 3$ .

Since  $F$  is strong, we may assume that  $(2, 2) \rightarrow (1, 1) \rightarrow (1, 2)$ . Since  $d((1, 1), (1, 5)) = 4$  by observation (1a), there must be a  $(1, 2)$ - $(1, 5)$  path of length 3. We may assume that  $(1, 2) \rightarrow (1, 3) \rightarrow (1, 4) \rightarrow (1, 5)$ . By observation (1b),  $d((1, 5), (1, 2)) = 3$  and thus  $(1, 5) \rightarrow (2, 4) \rightarrow (2, 3) \rightarrow (1, 2)$ . We shall further divide our consideration into 2 subcases.



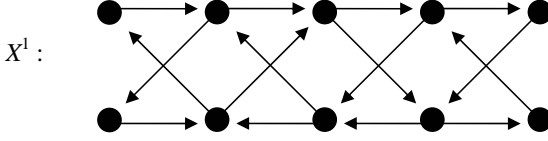


Figure 6

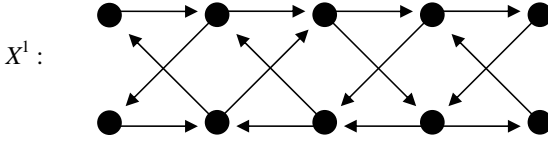


Figure 7

1.1.  $(2, 2) \rightarrow (2, 3)$ .

By observation (1b),  $d((1, 5), (2, 2)) = 3$  and thus  $(2, 4) \rightarrow (1, 3) \rightarrow (2, 2)$ . By observation (1a),  $d((2, 2), (2, 5)) = 3$  and thus  $(2, 3) \rightarrow (1, 4) \rightarrow (2, 5)$ . By observation (1b),  $d((2, 5), (1, 2)) = 3$  and thus  $(2, 5) \rightarrow (2, 4)$ . Now either  $(1, 2) \rightarrow (2, 1) \rightarrow (2, 2)$  or  $(2, 2) \rightarrow (2, 1) \rightarrow (1, 2)$ . This gives rise to 2 orientations  $Y^1$  and  $Y^2$  with  $d(Y^1) = d(Y^2) = 5$  as shown in Figure 6.

1.2.  $(2, 3) \rightarrow (2, 2)$ .

By observation (1a),  $d((2, 2), (1, 5)) = 3$  and thus  $(2, 2) \rightarrow (1, 3)$ . Since  $d((1, 1), (2, 1)) \leq 5$ , we must have  $(1, 2) \rightarrow (2, 1)$  and this in turn leads to  $(2, 1) \rightarrow (2, 2)$  since  $F$  is strong. Suppose  $(2, 5) \rightarrow (1, 4)$ . By observation (1a),  $d((2, 2), (2, 5)) = 3$  and thus  $(1, 3) \rightarrow (2, 4) \rightarrow (2, 5)$ . By observation (1b),  $d((2, 5), (2, 2)) = 3$  and thus  $(1, 4) \rightarrow (2, 3)$ . This gives rise to an orientation which is isomorphic to  $Y^1$ . Now suppose  $(1, 4) \rightarrow (2, 5)$ . By observation (1b),  $d((2, 5), (1, 1)) = 4$  and thus  $(2, 5) \rightarrow (2, 4)$ . At this stage, we have a partial orientation  $Z$  of  $P_6^{(2)}$ . This partial orientation  $Z$  gives rise to 2 non-isomorphic orientations  $Y^3$  and  $Y^4$  as shown in Figure. It is easy to check that  $d(Y^3) = 5$  and  $d(Y^4) = 6$  (since  $d((1, 1), (2, 3)) = 6$ ).  
**Case 2**  $(1, 5) \rightarrow \{(1, 6), (2, 6)\} \rightarrow (2, 5)$ .

Let  $u \in V(F_1)$  and  $v \in V(F_2)$ . We have the following observations:

(2a) since  $s_{\bar{F}}((1, 6)) = s_{\bar{F}}((2, 6)) = 1$  and  $(1, 5) \rightarrow \{(1, 6), (2, 6)\}$ , we have  $d(u, (1, 5)) = 4$  and  $d(v, (1, 5)) = 3$ ;

(2b) since  $s_F((1, 6)) = s_F((2, 6)) = 1$  and  $\{(1, 6), (2, 6)\} \rightarrow (2, 5)$ , we have  $d((2, 5), u) = 4$  and  $d((2, 5), v) = 3$ .

Since  $F$  is strong, we may assume that  $(2, 2) \rightarrow (1, 1) \rightarrow (1, 2)$ . Since  $d((1, 1), (1, 5)) = 4$  by observation (2a), there must be a  $(1, 2)$ - $(1, 5)$  path of length 3. We may

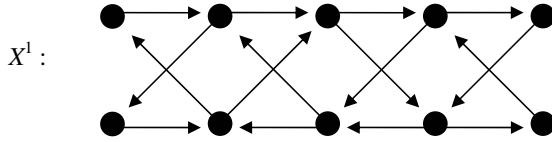


Figure 8

assume that  $(1, 2) \rightarrow (1, 3) \rightarrow (1, 4) \rightarrow (1, 5)$ . By observation (2b),  $d((2, 5), (1, 2)) = 3$  and thus  $(2, 3) \rightarrow (1, 2)$ . Since  $d((1, 5), (1, 1)) \leq 5$ , we have  $(1, 5) \rightarrow (2, 4)$ . Since  $d((1, 6), (2, 6)) \leq 5$ , we must have  $(2, 5) \rightarrow (1, 4)$ . Since  $d((1, 1), (2, 5)) \leq 5$ , we have  $(1, 3) \rightarrow (2, 4) \rightarrow (2, 5)$ . By observation (2b),  $d((2, 5), (1, 1)) = 4$  and thus  $(1, 4) \rightarrow (2, 3) \rightarrow (2, 2)$ . By observation (2a),  $d((2, 2), (1, 5)) = 3$  and thus  $(2, 2) \rightarrow (1, 3)$ . Since  $d((1, 5), (1, 1)) \leq 5$ , we have  $(2, 4) \rightarrow (2, 3)$ . Since  $d((1, 1), (2, 1)) \leq 5$  and  $F$  is strong, we have  $(1, 2) \rightarrow (2, 1) \rightarrow (2, 2)$ . This will result in orientation  $Y^5$  as shown in Figure 8. However, note that  $Y^5 \cong Y^2$ .

We have considered all possible cases and obtained exactly 3 non-isomorphic orientations of diameter 5, i.e.,  $Y^1, Y^2$  and  $Y^3$ .  $\square$

**Corollary** Let  $T_5$  be a tree of diameter 5 which contains  $P_6 : i_1 i_2 \cdots i_6$  as a subgraph such that  $\deg_{T_5}(i_1) = \deg_{T_5}(i_6) = 1$ ,  $\deg_{T_5}(i_2) = \deg_{T_5}(i_5) = 2$ ,  $\deg_{T_5}(i_3) \geq 2$  and  $\deg_{T_5}(i_4) \geq 2$ . If there exists  $F \in \mathcal{D}(T_5^{(2)})$  such that  $d(F) = 5$ , then  $F[V(P_6^{(2)})]$  is isomorphic to one of  $Y^1, Y^2, Y^3$  or  $Y^4$ .

*Proof.* Observe that the proof of Lemma 3, up to the partial orientation  $Z$  in Case 1.2 and in its entirety for the other cases, is independent of whether there exist any new edges incident with  $(p, i)$ , where  $p = 1, 2$  and  $i = 3, 4$ . The result thus follows.  $\square$

**Lemma 4**

- (I) If  $F \in \mathcal{D}(P_5^{(2)})$  is symmetrical, then  $d(F) \geq 5$ .
- (II) There exists exactly one symmetrical orientation  $F \in \mathcal{D}(P_5^{(2)})$ , up to isomorphism, such that  $d(F) = 5$ .

*Proof.* By Lemma 2,  $X^1$  is the only orientation of  $P_5^{(2)}$ , up to isomorphism, with diameter 4. By the observation (i) following the corollary to Lemma 2,  $X^1$  is not symmetric. Result (I) follows.

Suppose there exists a symmetrical orientation  $F \in \mathcal{D}(P_5^{(2)})$  such that  $d(F) = 5$ . Since  $F$  is strong, we may assume that  $(2, 2) \rightarrow (1, 1) \rightarrow (1, 2)$  and by symmetry,  $(2, 4) \rightarrow (1, 5) \rightarrow (1, 4)$ . Since  $d((1, 1), (1, 5)) \leq 5$ , there must be a  $(1, 2)$ - $(2, 4)$  path of length 2. We may assume that  $(1, 2) \rightarrow (1, 3) \rightarrow (2, 4)$  and by symmetry,  $(1, 4) \rightarrow (1, 3) \rightarrow (2, 2)$ . Suppose  $(1, 2) \rightarrow (2, 1)$ . Then since  $F$  is strong,  $(2, 1) \rightarrow (2, 2)$ ; and by symmetry,  $(1, 4) \rightarrow (2, 5) \rightarrow (2, 4)$ . Since  $d((1, 1), (2, 5)) \leq 5$ ,  $(1, 2) \rightarrow (2, 3) \rightarrow$

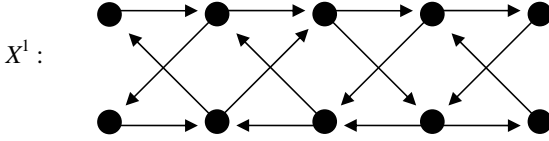


Figure 9

(1, 4), a contradiction to the symmetry of  $F$ . Thus  $(2, 2) \rightarrow (2, 1) \rightarrow (1, 2)$  and by symmetry,  $(2, 4) \rightarrow (2, 5) \rightarrow (1, 4)$ . Suppose  $(2, 3) \rightarrow (2, 4)$ . Since  $d((2, 4), (1, 2)) \leq 5$ ,  $(1, 4) \rightarrow (2, 3) \rightarrow (1, 2)$ , a contradiction to the symmetry of  $F$ . Thus  $(2, 4) \rightarrow (2, 3)$  and by symmetry,  $(2, 2) \rightarrow (2, 3)$ . If  $(1, 4) \rightarrow (2, 3)$ , then by symmetry,  $(1, 2) \rightarrow (2, 3)$  and so  $d((2, 2), (1, 4)) = 6$ , a contradiction. Hence  $(1, 2) \leftarrow (2, 3) \rightarrow (1, 4)$  and so  $F$  must be isomorphic to the orientation  $X^2$  of  $P_5^{(2)}$  as shown in Figure 9.  $\square$

**Corollary** Let  $T_5$  be a tree of diameter 5 which contains  $P_5 : i_1 i_2 \dots i_5$  as a subgraph such that  $\deg_{T_5}(i_1) = \deg_{T_5}(i_5) = 1$ ,  $\deg_{T_5}(i_2) = \deg_{T_5}(i_4) = 2$  and  $\deg_{T_5}(i_3) \geq 2$ . If there exists  $F \in \mathcal{D}(T_5^{(2)})$  such that  $d(F) = 5$  and  $F[V(P_5^{(2)})]$  is symmetric, then  $F[V(P_5^{(2)})] \cong X^2$ .

*Proof.* Observe that the proof of Lemma 4(II) is independent of whether there exist any new edges incident with  $(1, 3)$  or  $(2, 3)$ . The result thus follows.  $\square$

**Remark** Note that  $s_{X_1^2 \cup X_2^2}((1, 2)) = 0$ . Thus, if  $F \in \mathcal{D}(P_6^{(2)})$  with  $d(F) = 5$  is such that there is an isomorphism  $\varphi : F_1 \cup F_2 \cup F_3 \rightarrow X_1^2 \cup X_2^2 \cup X_3^2$  with  $\varphi((p, i)) = (p, i)$ , then  $F \cong Y^2$ .

We are now ready to establish the following main result for  $T_5$ .

Let  $A = \{x \in V(T_5) | d(x, u) = 5 = d(x, v) \text{ for some } u, v \in V(T_5), u \neq v\}$ .

**Theorem 3** Let  $T_5$  be a tree of diameter 5. Then

- (I)  $T_5(s_1, s_2, \dots, s_n) \in \mathcal{C}_0 \cup \mathcal{C}_1$ ;
- (II) if  $|A| \leq 1$ , then  $T_5(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$ ;
- (III) if  $\deg(v) \leq 2$  for all  $v \notin \{(1, 3), (2, 3), (1, 4), (2, 4)\}$  and  $|A| \geq 2$ , then  $T_5^{(2)} \in \mathcal{C}_1$ .

*Proof.* We shall prove (I) by defining an orientation (suggested by the remark above)  $F \in \mathcal{D}(T_5^{(2)})$  such that  $d(F) \leq 6$  as follows:

$$(p, i) \rightarrow (q, j) \text{ if and only if } (p, n(i)) \rightarrow (q, n(j)) \text{ in } Y^2.$$

As an illustration, the orientation  $F$  of a  $T_5^{(2)}$  with some vertices labeled is shown in Figure 10.

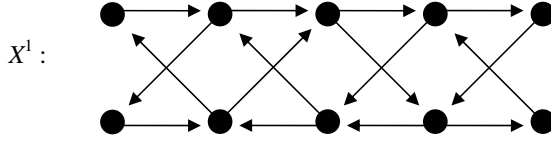


Figure 10

Let  $u$  and  $v$  be any 2 vertices in  $F$ . Observe that the shortest path between  $u$  and  $v$  lies on a digraph isomorphic to one of the following:

$$\begin{aligned}
 F^a &= F_1 \cup F_2 \cup F_3 \cup F_4 \cup F_5 \cup F_6; \\
 F^b &= F_1 \cup F_2 \cup F_3 \cup F_4 \cup F_{(4,2)}; \\
 F^c &= F_1 \cup F_2 \cup F_3 \cup F_{(3,2)}; \\
 F^d &= F_1 \cup F_2 \cup F_3 \cup F_{(3,1)} \cup F_{(3,1,1)}; \\
 F^e &= F_1 \cup F_2 \cup F_3 \cup F_{(2,1)}; \\
 F^f &= F_{(3,2)} \cup F_3 \cup F_4 \cup F_5 \cup F_6; \\
 F^g &= F_{(3,2)} \cup F_3 \cup F_4 \cup F_{(4,2)}; \\
 F^h &= F_{(3,2)} \cup F_3 \cup F_{(3,3)}; \\
 F^i &= F_{(4,2)} \cup F_3 \cup F_4 \cup F_5 \cup F_6; \\
 F^j &= F_{(4,2)} \cup F_4 \cup F_3 \cup F_{(4,3)}; \\
 F^k &= F_{(4,1,1)} \cup F_{(4,1)} \cup F_4 \cup F_3 \cup F_5 \cup F_6.
 \end{aligned}$$

It can be checked that  $F^a$  to  $F^j$  have diameter not exceeding 5 (note that  $F^a \cong Y^2$  and  $F^d \cong X^2$ ). It can also be checked that  $F^k$  has diameter 6. Hence  $d(F) \leq 6$  and thus, by Lemma 1, we have result (I). Suppose  $|A| = 0$ . Then no subdigraph of  $F$  will be isomorphic to  $F^k$ . If  $|A| = 1$ , we may let  $(6) \in A$ . Again, no subdigraph of  $F$  will be isomorphic to  $F^k$ . Thus,  $d(F) = 5$  and by Lemma 1, we have result (II).

Suppose  $|A| \geq 2$ . Label two of these vertices  $(6)$  and  $(4, 1, 1)$ . Then  $d_F((1, (6)), (1, (4, 1, 1))) = 6$  and thus  $d(F) = 6$ . Hence, for such a tree  $T_5$ , to show that  $\vec{d}(T_5^{(2)}) = 5$ , we need to introduce an orientation of  $T_5$  different from  $F$ . We need only consider  $T$  of Figure 11.

Suppose there exists  $H \in \mathcal{D}(T^{(2)})$  such that  $d(H) = 5$ . Let

$$\begin{aligned}
 H^1 &= H_1 \cup H_2 \cup H_3 \cup H_4 \cup H_5 \cup H_6, \\
 H^2 &= H_1 \cup H_2 \cup H_3 \cup H_4 \cup H_{(4,1)} \cup H_{(4,1,1)}, \\
 H^3 &= H_{(3,1,1)} \cup H_{(3,1)} \cup H_3 \cup H_4 \cup H_5 \cup H_6 \quad \text{and} \\
 H^4 &= H_{(3,1,1)} \cup H_{(3,1)} \cup H_3 \cup H_4 \cup H_{(4,1)} \cup H_{(4,1,1)}.
 \end{aligned}$$

Then by the corollary to Lemma 3, each of the  $H^i$ ,  $i = 1, 2, 3, 4$ , must be isomorphic to one of  $Y^1, Y^2, Y^3, Y^4$ .

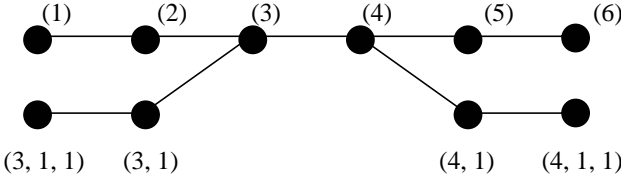


Figure 11

Suppose there exists an isomorphism  $\varphi : H^1 \rightarrow Y^3$ . By symmetry, we may assume that  $\varphi((p, i)) = (p, i)$  for all  $p$  and all  $i$ . Observe that  $Y_3^3 \cup Y_4^3$  is not isomorphic to any of  $Y_3^1 \cup Y_4^1$ ,  $Y_3^2 \cup Y_4^2$ ,  $Y_3^4 \cup Y_4^4$ . Thus, each of the  $H^i$ ,  $i = 2, 3, 4$ , must also be isomorphic to  $Y^3$ . Hence  $H_1 \cup H_2 \cup H_3 \cup H_{(3,1)} \cup H_{(3,1,1)}$  is symmetric but not isomorphic to  $X^2$ , a contradiction to the corollary to Lemma 4. Thus, by symmetry,  $Y^3$  is not isomorphic to any of  $H^i$ ,  $i = 1, 2, 3, 4$ .

Next, suppose there exists an isomorphism  $\varphi : H^1 \rightarrow Y^2$ . By symmetry, we may assume that  $\varphi((p, i)) = (p, i)$  for all  $p$  and all  $i$ . Suppose  $H^2 \cong Y^2$ . Thus  $H_6 \cup H_5 \cup H_4 \cup H_{(4,1)} \cup H_{(4,1,1)}$  is symmetric but not isomorphic to  $X^2$ , a contradiction to the corollary to Lemma 4. Now  $H^2$  cannot be isomorphic to  $Y^1$  because  $Y_1^2 \cup Y_2^2$  is neither isomorphic to  $Y_1^1 \cup Y_2^1$  nor to  $Y_5^1 \cup Y_6^1$ . The same argument can be used to show that  $H^2$  cannot be isomorphic to  $Y^4$ . Hence  $d(H^2) \geq 6$ , a contradiction. Thus, by symmetry,  $Y^2$  is not isomorphic to any of  $H^i$ ,  $i = 1, 2, 3, 4$ .

Now suppose there exists an isomorphism  $\varphi : H^1 \rightarrow Y^1$ . By symmetry, we may assume that  $\varphi((p, i)) = (p, i)$  for all  $p$  and all  $i$ . Suppose  $H^2 \cong Y^1$ . Then,  $H_6 \cup H_5 \cup H_4 \cup H_{(4,1)} \cup H_{(4,1,1)}$  is symmetric but not isomorphic to  $X^2$ , a contradiction to the corollary to Lemma 4. Thus  $H^2 \cong Y^4$ . It follows that  $H^3 \cong Y^1$ . Then,  $H_1 \cup H_2 \cup H_3 \cup H_{(3,1)} \cup H_{(3,1,1)}$  is symmetric but not isomorphic to  $X^2$ , a contradiction to the corollary to Lemma 4. Thus, by symmetry,  $Y^1$  is not isomorphic to any of  $H^i$ ,  $i = 1, 2, 3, 4$ .

Finally, we must have  $Y^4 \cong H^i$ ,  $i = 1, 2, 3, 4$ . Hence  $H_1 \cup H_2 \cup H_3 \cup H_{(3,1)} \cup H_{(3,1,1)}$  is symmetric but not isomorphic to  $X^2$ , a contradiction to the corollary to Lemma 4.

Hence  $d(H) \geq 6$  and result (III) follows from result (I).  $\square$

Finally, we shall consider trees of diameter at least 6. In Theorem 2, it was shown that if  $d(G) \geq 4$  and  $s_i \geq 4$  for each  $i = 1, 2, \dots, n$ , then  $G(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$ . Theorem 4 below extends this result to include the case that  $2 \leq s_i \leq 3$  when  $G$  is a tree of diameter at least 6.

**Theorem 4** *Let  $T_d$  be a tree of order  $n$  and diameter  $d$ , where  $d \geq 6$ . Then  $T_d(s_1, s_2, \dots, s_n) \in \mathcal{C}_0$ .*

*Proof.* We shall consider 2 cases according to the parity of  $d$ .

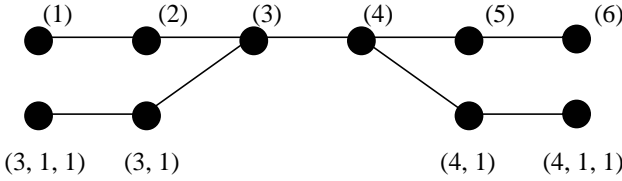


Figure 12

Case 1  $d \equiv 0 \pmod{2}$ .

We shall first design an orientation for  $T_4^{(2)}$ , and based on this, we shall then design optimal orientations for  $T_d^{(2)}$ ,  $d \geq 6$ . Let  $S = \{i \in V(T_4) \mid \deg(i) = 1 \text{ and } n(i) = 4\}$ .

Define an orientation  $F$  of  $T_4^{(2)}$  as follows:

- (i) for  $i_4 \notin S$ ,  $\{(1, i_1), (2, i_1)\} \rightarrow (1, 2) \rightarrow \{(1, 3), (2, 3)\} \rightarrow (2, i_4) \rightarrow \{(1, i_5), (2, i_5)\} \rightarrow (1, i_4) \rightarrow \{(1, 3), (2, 3)\} \rightarrow (2, 2) \rightarrow \{(1, i_1), (2, i_1)\}$ , where  $n(i_v) = v$ ;
- (ii) for  $i \in S$ ,  $(1, i) \rightarrow (1, 3) \rightarrow (2, i) \rightarrow (2, 3) \rightarrow (1, i)$ .

As an illustration, the orientation  $F$  of a  $T_4^{(2)}$  is shown in Figure 12.

Observe the following facts about  $F$ :

- (1a) for  $u = (p, i)$ , where  $p = 1, 2$ ,  $n(i) = 2, 4$  and  $i \notin S$ ,  
 $d(u, (1, 3)) = d(u, (2, 3)) \leq 3$  and  $d((1, 3), u) = d((2, 3), u) \leq 3$ ;
- (1b) for  $u = (p, i)$ , where  $p = 1, 2$  and  $n(i) = 1, 5$ ,  
 $d(u, (1, 3)) = d(u, (2, 3)) = d((1, 3), u) = d((2, 3), u) = 2$ ;

We shall prove that  $d(F) = 6$  by showing that  $e(u) \leq 6$  for all  $u \in V(F)$ . We shall consider 4 subcases.

1.  $u \in V(F_3)$ .

By symmetry, we need only consider  $u = (1, 3)$ . By observations (1a), (1b) and the fact that  $(1, 3) \rightarrow (2, i) \rightarrow (2, 3) \rightarrow (1, i)$  for  $i \in S$ , we have  $e(u) = 3$  if there exists  $i \in S$ . Otherwise,  $e(u) = 4$  since  $\{(1, 3), (2, 3)\} \rightarrow (2, 2) \rightarrow (1, 1) \rightarrow (1, 2) \rightarrow \{(1, 3), (2, 3)\}$ .

2.  $u \in V(F_i)$ , where  $i \in S$ .

By symmetry, we need only consider  $u = (1, i)$ .

- 2<sub>1</sub>.  $v \in V(F_3)$ .

$(1, i)(1, 3)(2, i)(2, 3)$  is a path of length 3.

- 2<sub>2</sub>.  $v \in V(F_j)$ , where  $j \in S$ .

$(1, i)(1, 3)(2, j)(2, 3)(1, j)$  is a path of length 4.

2<sub>3</sub>.  $v \in V(F \setminus (F3 \cup \bigcup_{i \in S} F_i))$ .

By (1),  $d((1, 3), v) \leq 3$ . Since  $(1, i) \rightarrow (1, 3)$ , we have  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 1 + 3 = 4$ .

3.  $u \in V(F_i)$ , where  $n(i) = 2, 4$  and  $i \notin S$ .

From (1),  $d((1, 3), v) \leq 3$  for  $v \neq (2, 3)$ . By observation (1a),  $d(u, (1, 3)) \leq 3$ . Thus,  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 3 + 3 = 6$  for  $v \neq (2, 3)$ . However, by observation (1a) again,  $d(u, (2, 3)) \leq 3$ . Hence  $e(u) \leq 6$ .

4.  $u \in V(F_i)$ , where  $n(i) = 1, 5$ .

By observation (1b),  $d(u, (1, 3)) = 2$ . By (1),  $d((1, 3), v) \leq 3$  for  $v \neq (2, 3)$ . Thus,  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 2 + 3 = 5$  for  $v \neq (2, 3)$ . However, by observation (1b) again,  $d(u, (2, 3)) = 2$ . Hence  $e(u) \leq 5$ .

We have covered all possible cases. Note that  $d(u, v) = 6$  if and only if  $u = (2, i)$  and  $v = (1, j)$  for distinct  $i, j$ , where  $(n(i), n(j)) = (2, 4), (4, 2)$  or  $(4, 4)$ , and  $i \neq S$ . Thus  $d(F) = 6$ .

Now let  $T_d$  be a tree of diameter  $d$ ,  $d \geq 6$ . Denote by  $T^{(2)}$  the induced subgraph of  $T_d^{(2)}$ , where  $V(T^{(2)}) = \{(p, i) | p = 1, 2 \text{ and } \frac{d}{2} - 1 \leq n(i) \leq \frac{d}{2} + 3\}$ . Let  $F \in \mathcal{D}(T_4^{(2)})$ , where  $T_4^{(2)} \cong T^{(2)}$ , be as defined above. Define  $H \in \mathcal{D}(T_d^{(2)})$  as follows:

(i)  $\varphi : F \rightarrow H[V(T^{(2)})]$  is an isomorphism such that  $\varphi(v) = u$  iff  $v^i = u^i$  when  $i \neq 1$  and  $v^1 = u^1 + \frac{d}{2} - 2$ ;

(ii) for all other edges,  $(1, i) \rightarrow (1, j) \rightarrow (2, i) \rightarrow (2, j) \rightarrow (1, i)$  iff  $n(i) < n(j)$ .

For each  $u \notin V(T^{(2)})$ , let  $u'$  be the vertex in  $T^{(2)}$  of minimum distance from  $u$  and let  $u''$  be the vertex in  $T^{(2)}$  of minimum distance to  $u$ . Note that  $n(u') = \frac{d}{2} - 1$  or  $\frac{d}{2} + 3$  and  $n(u'') = \frac{d}{2} - 1$  or  $\frac{d}{2} + 3$ . Observe also the following facts about  $H$ :

(2a) for  $u \notin V(T^{(2)})$ ,  $d(u, u') \leq \frac{d-4}{2}$  and  $d(u'', u) \leq \frac{d-4}{2}$ ;

(2b) for  $u, v \notin V(T^{(2)})$ ,  $d(u', v'') = 4$  (by observation (1b)).

Let  $u_1, u_2 \notin V(T^{(2)})$  and  $v_1, v_2 \in V(T^{(2)})$ . By observations (2a) and (2b) above,  $d(u_1, u_2) \leq \frac{d-4}{2} + 4 + \frac{d-4}{2} = d$ ,  $d(u_1, v_1) \leq \frac{d-4}{2} + 5 \leq d$  and  $d(v_1, u_1) \leq 5 + \frac{d-4}{2} \leq d$ . In addition, since  $d(F) = 6$ ,  $d(v_1, v_2) \leq 6 \leq d$ . Hence  $d(H) = d$ . Since every vertex in  $H$  lies on a cycle of length 4, by Lemma 1, we have the result for  $d \equiv 0 \pmod{2}$ .

Case 2  $d \equiv 1 \pmod{2}$ .

We shall first design an orientation for  $T_5^{(2)}$ , and based on this, we shall then design optimal orientations for  $T_d^{(2)}$ ,  $d \geq 5$ . Let  $S = \{i \in V(T_4) | \deg(i) = 1 \text{ and } n(i) = 2, 5\}$ .

Define an orientation  $F$  of  $T_5^{(2)}$  as follows:

(i) for  $i_2, i_5 \notin S$ ,  $\{(1, i_1), (2, i_1)\} \rightarrow (1, i_2) \rightarrow \{(1, 3), (2, 3)\} \rightarrow (2, i_2) \rightarrow \{(1, i_1), (2, i_1)\}$ ,  $\{(1, i_4), (2, i_4)\} \rightarrow (2, i_5) \rightarrow \{(1, i_6), (2, i_6)\} \rightarrow (1, i_5) \rightarrow \{(1, i_4), (2, i_4)\}$  and  $(1, 3) \rightarrow (1, 4) \rightarrow (2, 3) \rightarrow (2, 4) \rightarrow (1, 3)$ , where  $n(i_v) = v$ ;

(ii) for  $i \in S$ ,  $(1, i) \rightarrow (1, j) \rightarrow (2, i) \rightarrow (2, j) \rightarrow (1, i)$ , where  $ij \in E(T_5)$ .

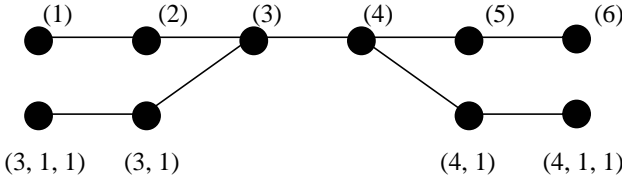


Figure 13

As an illustration, the orientation  $F$  of a  $T_5^{(2)}$  is shown in Figure 13.

Observe the following facts about  $F$ :

- (3a) for  $u = (p, i)$ , where  $p = 1, 2$ ,  $n(i) = 2$  and  $i \notin S$ ,  
 $d(u, (1, 3)) = d(u, (2, 3)) \leq 3$ ,  $d(u, (1, 4)) = d(u, (2, 4)) \leq 4$ ,  $d((1, 3), u) = d((2, 3), u) \leq 3$  and  $d((1, 4), u) = d((2, 4), u) \leq 4$ ;
- (3b) for  $u = (p, i)$ , where  $p = 1, 2$ ,  $n(i) = 5$  and  $i \notin S$ ,  
 $d(u, (1, 4)) = d(u, (2, 4)) \leq 3$ ,  $d(u, (1, 3)) = d(u, (2, 3)) \leq 4$ ,  $d((1, 4), u) = d((2, 4), u) \leq 3$  and  $d((1, 3), u) = d((2, 3), u) \leq 4$ ;
- (3c) for  $u = (p, i)$ , where  $p = 1, 2$  and  $n(i) = 1$ ,  
 $d(u, (1, 3)) = d(u, (2, 3)) = d((1, 3), u) = d((2, 3), u) = 2$  and  $d(u, (1, 4)) = d(u, (2, 4)) = d((1, 4), u) = d((2, 4), u) = 3$ ;
- (3d) for  $u = (p, i)$ , where  $p = 1, 2$  and  $n(i) = 6$ ,  
 $d(u, (1, 4)) = d(u, (2, 4)) = d((1, 4), u) = d((2, 4), u) = 2$  and  $d(u, (1, 3)) = d(u, (2, 3)) = d((1, 3), u) = d((2, 3), u) = 3$ .

We shall prove that  $d(F) = 7$  by showing that  $e(u) \leq 7$  for all  $u \in V(F)$ . We shall consider 4 subcases. (The proof follows closely the proof of Case 1.)

1.  $u \in V(F_3) \cup V(F_4)$ .

By symmetry, we need only consider  $u = (1, 3)$ . By observations (3a)-(3d) and the facts that  $(1, 3) \rightarrow (2, i) \rightarrow (2, 3) \rightarrow (1, i)$  or  $(1, 3) \rightarrow (1, 4) \rightarrow (2, i) \rightarrow (2, 4) \rightarrow (1, i)$  for  $i \in S$  and that  $(1, 3) \rightarrow (1, 4) \rightarrow (2, 3) \rightarrow (2, 4)$ , we have  $e(u) = 4$ .

2.  $u \in V(F_i)$ , where  $i \in S$ .

By symmetry, we need only consider  $u = (1, i)$ , where  $n(i) = 2$ .

- 2<sub>1</sub>.  $v \in V(F_3) \cup V(F_4)$ .

$(1, i)(1, 3)(1, 4)(2, 3)(2, 4)$  is a path of length 4.

- 2<sub>2</sub>.  $v \in V(F_j)$ , where  $j \in S$ .

If  $n(j) = 2$ , then  $(1, i)(1, 3)(2, j)(2, 3)(1, j)$  is a path of length 4.

If  $n(j) = 4$ , then  $(1, i)(1, 3)(1, 4)(2, j)(2, 4)(1, j)$  is a path of length 5.



2<sub>3</sub>.  $v \in V(F \setminus (F_3 \cup F_4 \cup \bigcup_{i \in S} F_i))$ .

By observations (3a)-(3d),  $d((1, 3), v) \leq 4$ . Since  $(1, i) \rightarrow (1, 3)$ , we have  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 1 + 4 = 5$ .

3.  $u \in V(F_i)$ , where  $n(i) = 2, 5$  and  $i \notin S$ .

By symmetry, we need only consider the case when  $n(i) = 2$ . From (1),  $d((1, 3), v) \leq 4$ . By observation (3a),  $d(u, (1, 3)) \leq 3$ . Thus,  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 3 + 4 = 7$ . Hence  $e(u) \leq 7$ .

4.  $u \in V(F_i)$ , where  $n(i) = 1, 6$ .

By symmetry, we need only consider  $u = (1, 1)$ . By observation (1b),  $d(u, (1, 3)) = 2$ . By (1),  $d((1, 3), v) \leq 4$ . Thus,  $d(u, v) \leq d(u, (1, 3)) + d((1, 3), v) \leq 2 + 4 = 6$ . Hence  $e(u) \leq 6$ .

We have covered all possible cases. Note that  $d(u, v) = 7$  if and only if  $u = (2, i)$  and  $v = (1, j)$  for distinct  $i, j$ , where  $(n(i), n(j)) = (2, 5)$  or  $(5, 2)$ , and  $i \notin S$ . Thus  $d(F) = 7$ .

Now let  $T_d$  be a tree of diameter  $d$ ,  $d \geq 5$ . Denote by  $T^{(2)}$  the induced subgraph of  $T_d^{(2)}$ , where  $V(T^{(2)}) = \{(p, i) | p = 1, 2 \text{ and } \frac{d-3}{2} \leq n(i) \leq \frac{d+7}{2}\}$ . Let  $F \in \mathcal{D}(T_5^{(2)})$ , where  $T_5^{(2)} \cong T^{(2)}$ , be as defined above. Define  $H \in \mathcal{D}(T_d^{(2)})$  as follows:

(i)  $\varphi : F \rightarrow H[V(T^{(2)})]$  is an isomorphism such that  $\varphi(v) = u$  iff  $v^i = u^i$  when  $i \neq 1$  and  $v^1 = u^1 + \frac{d-5}{2}$ ;

(ii) for all other edges,  $(1, i) \rightarrow (1, j) \rightarrow (2, i) \rightarrow (2, j) \rightarrow (1, i)$  iff  $n(i) < n(j)$ .

For each  $u \notin V(T^{(2)})$ , let  $u'$  be the vertex in  $T^{(2)}$  of minimum distance from  $u$  and let  $u''$  be the vertex in  $T^{(2)}$  of minimum distance to  $u$ . Note that  $n(u') = \frac{d-3}{2}$  or  $\frac{d+7}{2}$  and  $n(u'') = \frac{d-3}{2}$  or  $\frac{d+7}{2}$ . Observe also the following facts about  $H$ :

(4a) for  $u \notin V(T^{(2)})$ ,  $d(u, u') \leq \frac{d-5}{2}$  and  $d(u'', u) \leq \frac{d-5}{2}$ ;

(4b) for  $u, v \notin V(T^{(2)})$ ,  $d(u', v'') \leq 5$  (by observations (3c) and (3d)).

Let  $u_1, u_2 \notin V(T^{(2)})$  and  $v_1, v_2 \in V(T^{(2)})$ . By observations (4a) and (4b) above,  $d(u_1, u_2) \leq \frac{d-5}{2} + 5 + \frac{d-5}{2} = d$ ,  $d(u_1, v_1) \leq \frac{d-5}{2} + 6 \leq d$  and  $d(v_1, u_1) \leq 6 + \frac{d-5}{2} \leq d$ . In addition, since  $d(F) = 7$ ,  $d(v_1, v_2) \leq 7 \leq d$ . Hence  $d(H) = d$ . Since every vertex in  $H$  lies on a cycle of length 4, by Lemma 1, we have the result for  $d \equiv 1 \pmod{2}$ .  $\square$

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